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12-12-03

PATENT

Attorney Docket No.: ECD-0014

Customer No.: 29344

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): James A. Merkle, Jr. *et al.*

Examiner: Not yet assigned

Serial No.: 10/062,400

Group Art Unit: 2132

Filing Date: February 1, 2002

Title: SYSTEMS AND METHODS FOR MEDIA AUTHENTICATION

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

11-4-03

Date

Vanessa Marakas

Vanessa Marakas

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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TRANSMITTAL LETTER

Technology Center 2100

Sir:

Enclosed herewith for filing in the above-identified patent application please find the following listed items:

1. Supplemental Information Disclosure Statement;
2. Forms PTO-1449 and copies of cited references AL, AM and PB; and
3. Return Postcard.

In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 50-1798. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

Date: November 4, 2003  
Mills & Onello, LLP  
Eleven Beacon Street, Suite 605  
Boston, Massachusetts 02108  
Telephone: (617) 994-4900  
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Anthony P. Onello, Jr.  
Registration Number 38,572  
Attorney for Applicants



PATENT  
Attorney Docket No.: ECD-0014

THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Date

Vanessa Marakas

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

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Technology Center 2100

under 37 CFR 1.129(a), or  
(First/Second submission after Final Rejection)

under 37 CFR 1.97(b), or  
(Within three months of filing national application other than a CPA under §1.53(d); or within three months of date of entry of the national stage in international application; or before mailing date of first Office Action on the merits; or before mailing date of first Office Action after filing RCE under §1.114, whichever occurs last)

under 37 CFR 1.97(c) together with either:

a Statement under 37 CFR 1.97(e), as checked below, or

the \$180.00 fee under 37 CFR 1.17(p), or  
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, or other action which closes prosecution, whichever occurs first)

under 37 CFR 1.97(d) together with:

a Statement under 37 CFR 1.97(e), as checked below, and

the \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s): James A. Merkle, Jr. *et al.*  
Serial No.: 10/062,400

Statement Under 37 1.97(e)

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

Copies of cited references are enclosed.

Copies of cited references are enclosed except those entered in prior application, U.S. Serial No. \_\_\_\_\_, and references that are not required to be submitted under 37 CFR 1.98.

The listed references were cited in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

The "concise explanation" requirement under 36 CFR 1.98(a)(3)(i) for references AL and AM is satisfied by:

the explanation provided on the attached sheet.

the explanation provided in the Specification.

submission of the enclosed International Search Report in related International PCT application serial No. PCT/US02/26458.

abstract.

It is requested the information disclosed herein be made of record in this application.

Applicant(s): James A. Merkle, Jr. *et al.*  
Serial No.: 10/062,400

Method of payment:

Enclosed is a check in the amount of \$\_\_\_\_\_.

Please charge Deposit Account of 50-1798 in the amount of \_\_\_\_\_.

Two duplicate copies of this Statement are enclosed.

Please charge any deficiency in fees and credit any overpayment to Deposit Account No. 50-1798.

Respectfully submitted,

Date: November 4, 2003  
Mills & Onello, LLP  
Eleven Beacon Street, Suite 605  
Boston, Massachusetts 02108  
Telephone: (617) 994-4900  
Facsimile: (617) 742-7774  
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\_\_\_\_\_  
Anthony P. Onello, Jr.  
Registration Number 38,572  
Attorney for Applicants



Subst. Form PTO-1449

Docket Number (Optional) Application Number

ECD-0014

10/062,400

Applicant

James A. Merkle, Jr., et al.

Filing Date

02/01/02

Group Art Unit

2132

(Use several sheets if necessary)

U. S. Patent Documents

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA						
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
AL	EP 0 987 705	03/22/02	Europe	G11B	20/00		X
AM	JP 08 096508	04/12/96	Japan	G11B	20/10		X
AN							
AO							
AP							
AQ							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AR	
AS	
AT	

EXAMINER	DATE CONSIDERED
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**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.



Subst. Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>				Docket Number (Optional) <b>ECD-0014</b>	Application Number <b>10/062,400</b>		
				Applicant <b>James A. Merkle, Jr., et al.</b>			
				Filing Date <b>02/01/02</b>	Group Art Unit <b>2132</b>		
<b>U. S. Patent Documents</b>							
EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	<b>PA</b>						
	<b>PB</b>	<b>5,400,319</b>	<b>03/21/95</b>	<b>Fite, et al.</b>	<b>369</b>	<b>275.5</b>	<b>10/06/93</b>
	<b>PC</b>						
	<b>PD</b>						
	<b>PE</b>						
	<b>PF</b>						
	<b>PG</b>						
	<b>PH</b>						
	<b>PI</b>						
	<b>PJ</b>						
	<b>PK</b>						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES      NO
	<b>PL</b>						
	<b>PM</b>						
	<b>PN</b>						
	<b>PO</b>						
	<b>PP</b>						
	<b>PQ</b>						
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	<b>PR</b>						
	<b>PS</b>						
	<b>PT</b>						
EXAMINER				DATE CONSIDERED			
<b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.							